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APR 04 2018

**HEALTH FACILITIES &
SERVICES REVIEW BOARD**

March 30, 2018

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APR 04

**HEALTH FACILITIES &
SERVICES REVIEW BOARD**

Ms. Courtney Avery
Administrator
Illinois Health Facilities and
Services Review Board
525 West Jefferson
Springfield, IL 62761

RE: Certificate of Exemption E-005-15
Effingham Ambulatory Surgery Center
NOTIFICATION OF COMPLETION

Dear Ms. Avery:

The above-referenced Certificate of Exemption was issued on August 25, 2015; and approves the change of ownership/control of an ambulatory surgical treatment center. Please be aware that the associated transaction was completed on 10/31/16, ~~2015~~.

Sincerely,
Effingham Surgical Partners, LLC
d/b/a Effingham Ambulatory Surgery Center



Chris Hartshorn
Vice President and Manager



STATE OF ILLINOIS
HEALTH FACILITIES AND SERVICES REVIEW BOARD

525 WEST JEFFERSON ST. • SPRINGFIELD, ILLINOIS 62761 • (217) 782-3516 • FAX: (217) 785-4111

March 13, 2018

Jacob M. Axel, President
Axel & Associates, Inc.
675 N North Court, Suite 210
Palatine, Illinois 60067

Re: E-005-15 Effingham Ambulatory Surgery Center, Effingham, Illinois

Dear Mr. Axel,

The Health Facilities and Services Review Board approved the change of ownership exemption application for E-005-15 on August 25, 2015. In the exemption approval letter, the exemption holders were notified of the requirement to notify the Board of the date of project completion. Pursuant to 77 Ill. Adm. Code 570(c), the report was due 90 days after the change of ownership. However, the Board's records indicate that the exemption holders did not submit the report. This letter is a request for the report.

When completing the report, please include the date of project completion as defined in 77 Ill. Adm. Code 1130.570(b)(1). If, when submitting the exemption application, the applicants provided the key terms of the transaction, you must also certify that the transaction was completed according to the key terms contained in the application. If the key terms of transaction changed, the applicants shall submit a new change of ownership application with the new key terms.

The Board's laws state that the failure to submit this reporting may result in penalties including monetary fines. Any assessed fines continue to accrue until the Board receives the report or until the Board refers the matter to its legal counsel. Thus, it is in your best interest to submit the report soon. If you have any questions, please feel free to call Mike Constantino or George Roate 217-782-3516.

Sincerely,

A handwritten signature in cursive script that reads "Courtney Avery".

Courtney Avery
Administrator



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HEALTH FACILITIES AND SERVICES REVIEW BOARD

525 WEST JEFFERSON ST. • SPRINGFIELD, ILLINOIS 62761 • (217) 782-3516 • FAX: (217) 785-4111

August 26, 2015

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Jacob M. Axel, President
Axel & Associates, Inc.
675 North Court
Palatine, IL 60067

Re: Exemption Approval
EXEMPTION NUMBER: E-005-15
FACILITY NAME: Effingham Ambulatory Surgery Center- Effingham, Illinois
APPLICANTS: Effingham Surgical Partners, LLC - USP Effingham, Inc. - Tenet
Healthcare Corporation - United Surgical Partners International

Dear Mr. Kelly:

On August 25, 2015, the Illinois Health Facilities and Services Review Board/Chairwoman approved the exemption application for the referenced project based upon the project's substantial conformance with the applicable standards and criteria of 77 Ill Adm. Code 1130. In arriving at a decision, the State Board considered the findings contained in the State Board Staff Report, the exemption application material, public hearing testimony and documents, any testimony made before the State Board, and the Illinois Health Facilities Planning Act (20 ILCS 3960).

The operating entity licensee is Effingham Surgical Partners, LLC and the owner of the site is RI Effingham Medical Properties. The exemption change of ownership involves a purchase resulting in the issuance of a license to an entity different from the current licensee. The acquisition price/fair market value is \$3,644,789.

You are reminded that the issuance of the certificate of exemption is contingent upon the submittal of a statement to the State Board **within 90 days** of the closing date of the transaction that certifies the change of ownership has been completed in accordance with the key terms contained in the application. If the key terms of the transaction change, a new application must be filed. In addition the exemption holder must provide the State Board with the date that the ownership change occurred and also provide a copy of the license or certification issued pursuant to the change of ownership. **Failure to provide this notification shall subject the exemption holder to the sanctions provided under Section 14 of the Illinois Health Facilities Planning Act.**

The State Board's approval does not exempt the transaction from any other regulatory, certification or licensure requirements that may be applicable prior to acquisition. The exemption will be invalid should the facility for which the change of ownership was granted cease to be an existing health care facility as defined in 77 IAC 1130.140.

Exemption Permit Letter

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This permit does not exempt the project or permit holder from licensing and certification requirements, including approval of applicable architectural plans and specifications prior to construction. **Please note the Illinois Department of Public Health will not license the proposed facility until such time as all of the permit requirements have been completed.** Should you have any questions regarding the permit requirements, please contact Mike Constantino at 217-782-3516.

Sincerely,

A handwritten signature in cursive script, reading "Courtney Avery".

Courtney Avery, Administrator

cc: Kathy Olson, Chairman